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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/656,722			
INFORMATION DISCLOSURE	Filing Date	September 5, 2003			
	First Named Inventor	Lilip Lau			
STATEMENT BY APPLICANT	Art Unit	3736			
(use as many sheets as necessary)	Examiner Name	Unassigned			
Sheet 1 of 2	Attorney Docket Number	PARCR 66117			

		Document Number		PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
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Examiner	/John Lacvk/	,	·	Date	10/29/2007	٦
Signature	700/11/ 2007/10			Considered	10/29/2007	

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Sheet 2 (use as many sheets as necessary)

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Application Number	10/656,722						
Filing Date	September 5, 2003						
First Named Inventor	Lilip Lau						
Group Art Unit	3736						
Examiner Name	Unassigned						
Attorney Docket Number	PARCR 66117						

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
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Complete if Known

Application Number 10/656,722

Filing Date September 5, 2003

First Named Inventor Lilip Lau

Art Unit Unassigned

Examiner Name Unassigned

PARCR 66117

Sheet 1

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unassigned Art Unit Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 Sheet 2 of 14 Attorney Docket Number U.S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Document Number Examiner **Publication Date** Relevant Passages or Relevant Applicant of Cited Kind Code Number Initials* MM-DD-YYYY Document Figures Appear (if known) 4,628,937 12/16/86 Hess et al. 4,630,597 12/23/86 Kantrowitz et al. 4,665,906 05/19/87 Jervis 4,690,134 09/01/87 Snyders 4,697,703 10/06/87 Will 4,750,619 06/14/88 Cohen et al. 4,821,723 04/18/89 Baker, Jr. et al. 4,827,932 05/09/89 Ideker et al. 4,834,707 05/30/89 Evans 06/13/89 Wright et al. 4,838,288 4.840.626 06/20/89 Linsky et al. 09/05/89 Fong et al. 4,863,016 4,878,890 11/07/89 Bilweis 4,936,857 06/26/90 Kulik 4,957,477 09/18/90 Lundbäck 4,960,424 10/02/90 Grooters 4,973,300 11/27/90 Wright 12/11/90 Kwan-Gett 4,976,730 5,031,762 07/16/91 Heacox 10/15/91 5,057,117 Atweh 5,067,957 11/26/91 Jervis 02/11/92 Avitall 5,087,243 FOREIGN PATENT DOCUMENTS Pages, Columns, Lines Foreign Patent Document **Publication Date** Name of Patentee or Applicant Where Relevant Examine Τ° MM-DD-YYYY of Cited Document Passages or Relevant Initials* Kind⁵ Office3 Number⁴ (if known) Figures Appear 2 645 739 10/19/90 Mentzer et al. FR WO 91/19465 12/26/91 Frater **PCT** 1734767 A1 05/23/92 Popov et al. SU 0 280 564 B1 🗸 EP 06/16/93 Intermedics, Inc. DE 38 31 540 C2 🛩 06/24/93 Evans WO 95/06447 🗸 W.L. Gore & Associates 03/09/95 PCT PCT WO 96/04852 🗸 02/22/96 Northrup 295 17 393 U1 🗸 Hohmann et al. 03/14/96 DE EP 0 583 012 B1 🗸 07/10/96 Heilman et al.

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Signature	Considered	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known			
Substitute for form 1449A/PTO	Application Number	10/656,722		
INFORMATION DISCI OSURE	Filing Date	September 5, 2003		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	Unassigned		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 3 of 14	Attorney Docket Number	PARCR 66117		

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Signature	Considered

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Sheet 4 of 14	Attorney Docket Number	PARCR 66117		

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r	NFORMATION DI	SCI OSTIDE	Filing Date	September 5, 2003		
			First Named Inventor	Lilip Lau		
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Sheet	6 of	14	Attorney Docket Number	PARCR 66117		

			U.S.	PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Docum Number	ent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		6,162,168		12/19/00	Schweich, Jr. et al.	

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	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages	76			
	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Ţ,			
		PCT	WO 00/62727	-	10/26/00	Hearten Medical					
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.					
		PCT	WO 01/17437 🖊		03/15/01	Cardiothoracic Systems					
		PCT	WO 01/21098 🖍	-	03/29/01	Acom Cardiovascular					
		PCT	WO 01/50981		07/19/01	Acorn Cardiovascular					
		PCT	WO 01/67985. V		09/20/01	Paracor Surgical					
		PCT	WO 01/85061' ·		11/15/01	Acom Cardiovascular					
		PCT	WO 01/91667 🗸		12/06/01	Cardioclasp, Inc.					
		PCT	WO 01/95830 🗸		12/20/01	Acom Cardiovascular					

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,	С	omplete if Known
Substitute for form 1449A/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 7 of 14	Attorney Docket Number	PARCR 66117

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No!	Docume Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.		
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		PCT	WO 01/95831-/		12/20/01	Acom Cardiovascular		
		PCT	WO 01/95832		12/20/01	Acom Cardiovascular		1
		PCT	WO 02/13726 1/		02/21/02	Acorn Cardiovascular		T
		PCT	WO 02/19917 🗸		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		1
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.		T
		PCT	WO 03/026485 /		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.		

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unasigned Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 66117 Sheet of | 14 Attorney Docket Number

			U.S	. PATENT DOCUMEN		
Examiner Initials*	Cite No ¹	Number Docum	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	 	6,595,912		07/22/03	Lau et al.	
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Signature	Considered	

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Substitu	ite for form 1449A/PTO	•	Application Number	10/656,722
l 1	NFORMATION DI	SCI OSTIDE	Filing Date	September 5, 2003
			First Named Inventor	Lilip Lau
3	STATEMENT BY A	APPLICANT	Art Unit	Unasigned
	(use as many sheets as	necessary) ·	Examiner Name	Unassigned
Sheet	9 of	14	Attorney Docket Number	PARCR 66117

		Document		PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	No	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Document	or Relevant Figures Appear		
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Examiner	/John Lacyk/	Date Considered	10/29/2007
Signature		Considered	

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Substit	Substitute for form 1449B/PTO		С	Complete if Known		
l .	INFORMATION DISCLOSURE		Application Number	10/656,722		
	INFORMATION DISCLOSURE			Filing Date	September 5, 2003	
STATEMENT BY APPLICANT		First Named Inventor	Lilip Lau			
				Group Art Unit	Unassigned	
	(use as many sheets as necessary)		Examiner Name	Unassigned		
Sheet	10	of	14	Attorney Docket Number	PARCR 66117	

-		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, '	
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INFORMATION DISCLOSURE	Filing Date	September 5, 2003		
	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Group Art Unit	Unassigned		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 11 of 14	Attorney Docket Number	PARCR 66117		

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	
Examiner Initials*	Cite No ¹ .	magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Substitute	for form	1449B/PTO	Application Number	10/656,722		
TAIL		AATION DICCI OCUDE	Filing Date	September 5, 2003		
		MATION DISCLOSURE	First Named Inventor Lilip Lau			
ST	ATE	MENT BY APPLICANT	Group Art Unit	Unassigned		
			Examiner Name	Unassigned		
Sheet 1	(uso	e as many sheets as necessary) of 14		PARCR 66117		
Sheet 1			Attorney Docket Number			
		OTHER PRIOR ART NON				
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		Power, J.M., et al., Passive Ventricular Const of Dilated Cardiomyopathy, Cardiovascular R				
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Substitu	ute for form 1449	В/РТО		Application Number	10/656,722		
T	INFODMA	TION DI	SCLOSURE	Filing Date	September 5, 2002		
				First Named Inventor	Lilip Lau		
	STATEME	MIBYA	PPLICANT	Group Art Unit	Unassigned		
	(use as r	nany sheets as	necessary)	Examiner Name	Unassigned		
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Substitute for form 1449B/PTO	Application Number	10/656,722		
INFORMATION DISCLOSURE	Filing Date	Sepetmeber 5, 2003		
	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Group Art Unit	Unassigned		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 14 of 14	Attorney Docket Number	PARCR 66117		

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INFORMATION DISCLOSURE	Filing Date	September 5, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	3736		
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INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	3736	
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Substitute for form 1449A/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	3736	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 5 of 14	Attorney Docket Number	PARCR 66117	

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	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	3736	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 6 of 14	Attorney Docket Number	PARCR 66117	

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Substitute for form 1449A/PTO	Application Number	10/656,722
INFORMATION DISCLOSURE	Filing Date	September 5, 2003
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	3736
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 7 of 14	Attorney Docket Number	PARCR 66117

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Examiner Initials*	Cite No ¹	Number Docume	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear
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Substitu	ite for form 1449A/PTO		Application Number	10/656,722	
İ	NFORMATION DI	SCI OSTIDE	Filing Date	September 5, 2003	
			First Named Inventor	Lilip Lau	
3	STATEMENT BY A	PPLICANI	Art Unit	3736	
i	(use as many sheets as necessary)		Examiner Name	Unassigned	
Sheet	8 of	14	Attorney Docket Number	PARCR 66117	

		Docume	nt Number	PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
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		·	Complete if Known		
Substitu	ute for form 1449A/PTO		Application Number 10/656,722		
l r	NFORMATION	DISCI OSLIDE	Filing Date	September 5, 2003	
1	· · · · · · · · · · · · · · · · · · ·		First Named Inventor	Lilip Lau	
`	STATEMENT BY	APPLICANT	Art Unit	3736	
	(use as many sheets as necessary)		Examiner Name	Unassigned	
Sheet	9	of 14	Attorney Docket Number	PARCR 66117	

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S	TATEMI	ENT BY A	APPLICANT	First Named Inventor	Lilip Lau		
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Sheet	10	of	14	Attorney Docket Number	PARCR 66117		

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Substitute for form 1440P/PTO		Complete if Known		
Substitute for form 1449B/PTO			Application Number	10/656,722
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	STATEMENT BY		First Named Inventor	Lilip Lau
`	STATEMENT BY	APPLICANT	Group Art Unit	3736
i	(use as many sheets a	as necessary)	Examiner Name	Unassigned
Sheet	11 0	f 14	Attorney Docket Number	PARCR 66117

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T,
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Substitute f	or form	1449B/PTO	Application Number	10/656,722		
INI	ran i	MATION DISCLOSURE	Filing Date	September 5, 2003		
	_		First Named Inventor Lilip Lau			
91	AIL	MENT BY APPLICANT	Group Art Unit	3736		
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8	STATEMENT B	ΥA	PPLICANT	Group Art Unit	3736
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	,
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		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001 published on February 14, 2003 as Pub. No. 02-0019580-A1; Inventors: Lau et al.	
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i		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003; Inventor: Lau	
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